



WIOA

Professional Development



September 22, 2020

*Department of Commerce and Economic Opportunity
Program Year 2020 Monitoring Briefing*

Session Agenda

- Office of Employment and Training Staff Updates
- Program Year 2019 Common Findings
- Program Year 2020 Monitoring Procedures
- Program Year 2020 Monitoring Schedule
- Program Year 2020 Areas of Emphasis
- Q & A



Welcome New OET Staff Monitors

- Chicago Carmind Burns
- Springfield Levi Beerup
- Springfield Kristi Houston
- Springfield Marvin Anderson
- Springfield Trevor Lawson
- Springfield Kristen Norvell
- Marion Robin Kralman
- Marion Chelsea Qualls
- Marion Elizabeth Shew



Program Year 2019 Common Findings

US DEPARTMENT OF LABOR FINDINGS

- Individual Employment Plans (IEP) or Individual Service Strategies (ISS)
- Participant Assessments and Enrollment Determinations
- Participant Exits and Referrals
- One Stop Operator Payments



Program Year 2019 Common Findings

IL DEPARTMENT OF COMMERCE FINDINGS



- Meaningful 90-day two-way communication requirement
- IEP/ISS Not Updated and/or Services don't match ISS/IEP
- Test Dates/Scores Not Match Hard Copies
- Service records/records not entered within 10 days of activity
- Eligibility Documentation: ISY, OSY, DW, a few Trade

Program Year 2019 Findings Summary

- Meaningful 2-way communication (43+ findings, 12+ LWIAs)
- Service records not entered within 10 days (35+ findings, 11+ LWIAs)
- IEP/ISS Not Complete/Not Updated/Not Match Services (21+ findings, 11 LWIAs)
- Eligibility Documentation/Signature Dates (9+ customers, 4 LWIAs)
- Test Dates & Scores not Matching (9 customers, 7 LWIAs)
- Eligibility Documentation (>8 across the state, however at risk for disallowed costs)

PY2020 Monitoring Components

- Fiscal & Administrative
- Programmatic
- Governance
- Nonformula
 - ✓ Dislocated Worker Grants
 - ✓ COVID Layoff Aversion
 - ✓ Apprenticeship Expansion
 - ✓ WIOA Service Integration



RISK ASSESSMENT

- GATA Prequalification
- Previous Monitoring Report
- Result of IWDS Report Review
- Result of OET Subject Matter Expert Report Review
- LWIA Composition
 - WIOA Allocation
 - Number of Participants
 - Number of Subrecipients / Workforce Partners

PY2020 Monitoring Procedures

REMOTE MONITORING

- DCEO monitors plan to conduct most of the monitoring remotely for PY2020 (subject to change)
- LWIA staff will be requested to provide information electronically through a secure file transfer
- LWIA staff will be requested to upload a sample of participant files to IWDS based on the risk assessment
- Additional time will be provided to upload and review documents

PY2020 Monitoring Schedule

- All Local Workforce Areas must be monitored.
- All Nonformula grants should be monitored during the life of the grant
- Additional time will be provided for expanded monitoring samples
- The schedule is subject to change based on COVI-19 developments



Program Year 2020 Areas of Emphasis



- US Department of Labor PY2019 Common Findings
- State of Illinois PY2019 Common Findings
- WIOA Governance & One Stop Operator Procurement
- Protection of Personally Identifiable Information
- WIOA Service Delivery
- WIOA Nonformula Grants



Protect Personally Identifiable Information

- U.S. Department of Labor
 - WIOA Final Rule at 20 CFR 683.220
 - Training and Employment Guidance Letter No. 39-11
- Illinois State Laws
 - Identity Protection Act (5 ILCS 179)
 - Personal Information Protection Act (815 ILCS 530)
- Illinois WIOA “WIOA Systems” User Agreements
 - Grantees must report any breach or suspected breach to DCEO OET.

Grantees must have an internal control structure and written policies in place to protect PII and sensitive information.

Questions and Answers

